

ANNEXURE 8a-e : GEF STANDARD ANNEXES

- 8a Letters of Endorsement**
- 8b Letters of Co-Finance**
- 8c STAP Review**
- 8d Response to STAP Review and GEF Secretariat Reviews**
- 8e Project Site Map**

8a) LETTERS OF ENDORSEMENT BY GEF Operational Focal Points

In 2000 – 2001 at the time of the approval of the GEF PDF B leading to this GEF Full Size Project Brief, GEFSEC accepted Statements of Approval of the combined PDF Project Document / PDF Concept. These signatures of GEF Operational Focal Points are attached here within this Annexure.

**REPUBLIQUE DU BURUNDI
MINISTRE DE L'AMENAGEMENT DU
TERRITOIRE ET DE L'ENVIRONNEMENT**



INSTITUT NATIONAL POUR L'ENVIRONNEMENT ET LA CONSERVATION DE LA NATURE

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Gitega, le 10/07/2000	
Site Opération	
Site de Sec.	

A Monsieur
le Représentant Résident du PNUD

à
BUJUMBURA

N.Réf. : 29 /DG.10.3.1/INECN/00

V.Réf. :

Objet : Endossement du Projet de « Mise en Place de Propositions de Projets et de Mécanismes de Financement Détaillés Régionaux et Nationaux pour la Mise en Œuvre du Programme d'Action Stratégique (PAS) du Lac Tanganyika et de la Convention.

Monsieur le Représentant Résident,

J'ai l'honneur de vous transmettre en annexe à la présente, le Projet ci-dessus mentionné afin que vous le soumettiez au GEF pour financement. Ce Projet est d'intérêt régional et concerne les quatre pays riverains du Lac Tanganyika (Burundi, République Démocratique du Congo, Tanzanie et Zambie).

En effet, Monsieur le Représentant Résident, ce document en annexe a été discuté à la Sixième Réunion du Comité de Direction Régional du Projet sur la Biodiversité du Lac Tanganyika tenue à Lusaka en Zambie, du 4 au 5 mai 2000. Le Burundi participait à cette Réunion de Lusaka. Les objectifs et les actions proposés dans le document et les résultats attendus cadrent bien avec les priorités et les préoccupations majeures du Pays en ce qui concerne la protection de la Biodiversité du Lac Tanganyika.

Le Burundi, l'un des principaux initiateurs du Projet sur la Biodiversité du Lac Tanganyika, est favorable pour le financement de ce Projet Régional.

Veuillez agréer, Monsieur le Représentant Résident, l'assurance de ma haute considération.

Le Directeur Général de l'INECN et
Point Focal Opérationnel du GEF

Georges KARIRIMURRYANGO.-

C.P.I.à :

- Monsieur le Ministre de l'Aménagement du Territoire et de l'Environnement
- Madame Kelly WEST

à

BUJUMBURA.



**UNDP
Global Environment Facility
Project Document**

Number and Title: RAF01G41/A/1G/31 Developing Detailed Regional and National Project Proposals and Financing Mechanisms to Implement the Lake Tanganyika Strategic Action Program

Project Countries: Regional – Burundi, Democratic Republic of Congo, Tanzania, and Zambia

Duration: 1 April, 2001 – 30 March, 2002

ACC/UNDP Sector: 0410 - Water Resources
Planning & Management

Executing Agency: UNOPS

UNDP & Cost-Share Financing	
UNDP GEF:	\$US 595,000
AfDB	\$US 106,000
Governments	\$US 324,000
Total:	\$US 1,025,000

Project Summary

The principal objective of this PDF-B is to prepare a Strategic Action Program (SAP) implementation full project proposal. Activities include development of a detailed project design for SAP implementation including sub-projects addressing major issues, the negotiation of bi-lateral, multi-lateral, regional and national co-finance, and the requisite level of public consultation to ensure that all relevant stakeholders have been engaged in the process of full project implementation.

On behalf of the	Signatures	Date	Name/Title
Government of Burundi			
Government of DR Congo			
Government of Tanzania	<i>T. Muponyo</i>	28 March 2001	Head, Multi-lateral
Government of Zambia			
UNDP	<i>Jacques Lemp, oic</i> UNDP Africa	20 Feb. 2001	oic, UNDP Africa
UNOPS			

8b) LETTERS OF CONFIRMATION OF COFINANCE

Eight Letters of Confirmation are attached:

- From IUCN for 1.0 million \$
- From Nordic Development Fund for potential 4.5 million \$
- African Development Bank for 30 million \$
- Private Sector Contribution to Waste Water in Burundi (0.89 mill \$)
- Government of Tanzania for
- Government of Burundi for
- Government of DRC for
- Government of Zambia

From	▶ NORDIC DEVELOPMENT FUND <Magnus.Magnusson@ndf.fi>
Date	Friday, August 20, 2004 5:10 pm
To	<benoitb@unopsmail.org>
Cc	<tdamhaug@c2i.net>, <Arnthor.Thordarson@ndf.fi>
Subject	RE: RE: RE: Lake Tanganyika Project: Kigoma WasterWaterTreatment Component: Special NDF Appraisal Mission

Dear Benoit,

Torbjörn Damhaug has informed me that he would be available for conducting the special NDF appraisal mission to Kigoma (via Dar es Salaam) during the first week (ten days) of September. I have asked Torbjörn to hereafter take direct contact with you and Katrin Lervik (who knows Torbjörn -both are Norwegian) with regard to detailed planning, timing etc. of the mission.

I am confident that the mission will be successful and provide us with sufficient information to move ahead with project preparation activities.

If all works as planned, I will submit the NDF-financed components to NDF's Board of Directors for approval at the Board meeting

on 9 December (or a per-capsulum decision earlier if needed). Please note that my colleague Arnthor Thordarson will be in charge of project implementation activities after the credit agreement has been signed by the Government of Tanzania.

All the best and let's stay in touch.

Magnus Magnusson
Regional Manager
Nordic Development Fund
P.O. Box 185
FIN-00171 Helsinki
Finland

From	▶ <Magnus.Magnusson@ndf.fi>
Date	Tuesday, July 13, 2004 4:09 pm

To	<a.bella@afdb.org>
Cc	<s.touunkara@afdb.org>, <a.diaw@afdb.org>, <j.rigoulot@afdb.org>, <y.vyas@afdb.org>, <alan.rodgers@cybernet.co.tz>, <KAW@IUCNEARO.ORG>, <benoitb@unopsmail.org>, <Eric.Reynolds@fao.org>, <jens.skov-christensen@cec.eu.int>, <hannu.molsa@fishinnovationcentre.fi>, <alan.rodgers@undp.org>, <andrew.hudson@undp.org>, <TJG.Loberg@ndf.fi>
Subject	RE: Partners meeting - 16 - 17 and 19 July 2004, Tunis - Multinationa I : Regional Integrated Program for Lake Tanganyika Support Project/Partn ers meeting

Dear Ms. Bella,

Thank you for the invitation to the partners meeting in Tunis. Unfortunately NDF will not be able to participate at the meeting due to other commitments. However, it might be possible for NDF to participate via telephone during parts of the meeting preferably on Monday next week (please call +358 40 549 08 88). As you know, NDF's sector expert Tumi Tomason has finalised his mission to Tanzania and Zambia and has subsequently submitted his report to NDF (see attached). The report is currently being processed by NDF and no decision has yet been taken on which interventions to propose to the respective governments and NDF's lead agency (AfDB).

However, at this stage the interventions in Tanzania as proposed by the consultant seem most suitable for NDF financing. These are (see page 24 ff in the attached report):

Capacity of local and national stakeholders strengthened:

Fisheries and environment local services are endowed with office facilities, computers and communication means (boats and engines) by 2007

The skills of senior staff and technicians from fisheries departments and research institutes are up-graded in fisheries monitoring, stock assessment, control and surveillance by 2008

Fisheries resources sustainably managed and value added to fish products increased:

Sustainable fisheries statistical systems, including data bases are developed in each country

Landing sites in pilot villages are endowed with basic infrastructure and facilities by 2007

The lake's water quality maintained and environment conserved:

Wastewater treatment plant constructed in Kigoma by 2008

The interventions proposed, especially the wastewater treatment plant, seem to require additional appraisal and NDF will contract a water expert for this purpose.

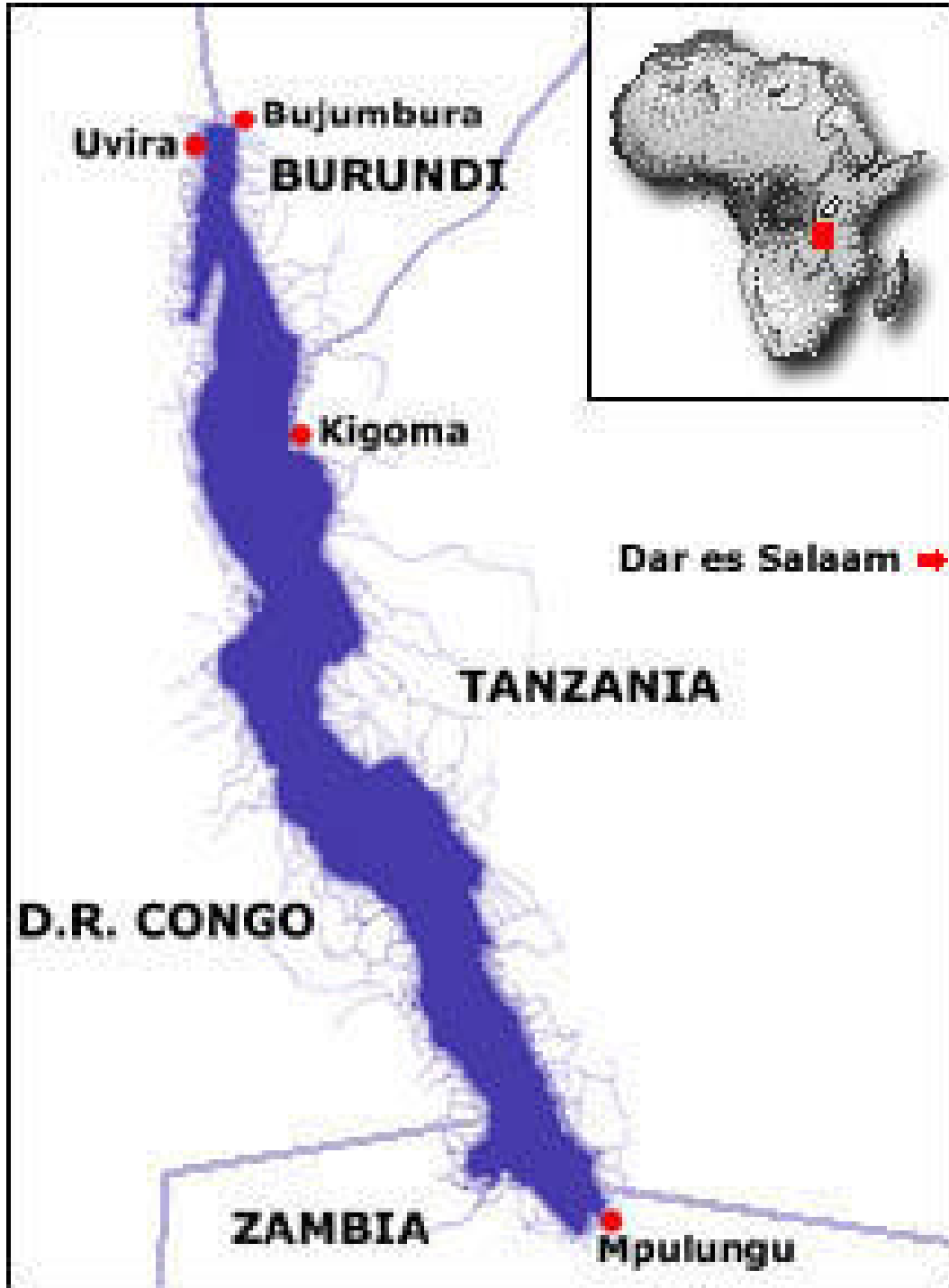
(from 5 – 12 September)

We regret that NDF will not be able to be in Tunis for the partners meeting and hope that the discussions will be successful for all parties involved. I look forward to our future cooperation in this project.

Best regards,
Magnus

Magnus Magnusson Regional Manager
Nordic Development Fund
P.O. Box 185 FIN-00171 Helsinki Finland

ANNEX 8 e : MAP OF LAKE TANGANYIKA REGION SHOWING MAIN TOWNS



Annex 9 Lake Tanganyika Integrated Regional Development Programme

Description of Programme (From AfDB ProDoc June 2004)

Generalities. The LTIRDP was designed to implement the policies of the framework fisheries management plan (FFMP) and SAP II. It translates the determination of the partners involved (ADF, GEF, FAO, IUCN, NDF, EU, FINNIDA) to accompany the Governments in their efforts to lay the foundations of a sustainable management of the Lake and the resources of its basin. In addition to the policies of the FFMP and SAP II, the programme is also based on the general principles of the code of conduct for responsible fishing adopted by FAO in 1995, which on the whole seeks to maintain the quality, diversity and availability of fish resources in sufficient quantities for the present and future generations of the countries concerned. More globally, the programme falls within the policies of the Poverty Reduction Strategy Papers (PRSP) and the interim PRSP prepared by the States, which aim at a lasting and sustainable economic growth for poverty reduction. It incorporates the Bank's food security, poverty reduction, environmental protection and regional integration vision.

Objectives and expected results: The overall objective of the programme is to contribute to poverty reduction in the Lake basin. More specifically, it aims to improve food security and the welfare of the populations of the basin through good management of Lake Tanganyika. The programme has five components: A) Capacity building; (B) Fisheries development; (C) Rehabilitation of infrastructure and post conflict operations; (D) Environmental protection and biodiversity; and (E) Programme management. The results expected from the programme are: (i) the establishment of viable national and regional institutions for cooperation and integration; (ii) sustainable management of fish resources and their enhancement; (iii) sustainable improvement of water quality; (iv) promotion of sustainable development initiatives in the basin; (v) strengthening of local, national and regional institutional capacities; (v) development of joint management measures of the Lake at the regional level; and (vi) establishment of an integrated regional monitoring, information and communication system.

Detailed description of the components

Component A: Capacity building. The component seeks to beef up the capacities of national and regional institutions with a view to a sustainable and integrated management of Lake Tanganyika and its natural resources. In particular, it will enable (i) the support to the establishment of the Secretariat of the Lake Tanganyika Authority (LTA); (ii) support to the improvement and harmonisation process of fishery and environmental policies and regulations; (iii) support for the drawing up of the economic and social master plan of the lake basin; (iv) support for the administration of fisheries and fish research stations in the areas of fisheries planning and back-up research; (v) development of a permanent regional monitoring and communication system; and (vi) the implementation of training for the executives of the national institutions and NGOs involved in the programme. This component also seeks to strengthen the local communities in the areas of socio-professional organisation, natural resources management and environmental protection.

Component B: Fisheries development. The aim of this component is to ensure a sustainable exploitation of the fish resources and an environmental preservation of the Lake. In particular, it will: (i) introduce the participatory management of fish resources by developing the joint fisheries management mechanism and the protection of the reproduction areas; (ii) establish a sustainable fishery statistics system with the constitution of computerised data bases; (iii) put in place a lake surveillance device with the effective participation of the fishing communities; and (iv) install basic infrastructure and equipment to enhance the products.

Component C: Rehabilitation of infrastructure and local development initiatives. The component seeks to improve the welfare of the communities through rehabilitation/reconstruction of the basic socio-economic infrastructure and the financing of the local development initiatives of the communities. The

programme will in particular finance (i) through the local development funds, the construction of community infrastructure (class rooms, community health centres, latrines, drinking water points, rural markets, community lake transport, jetties, etc); (ii) reintegration micro-projects and diversification of activities in favour of the vulnerable populations; and (iii) rehabilitation of rural roads to open up the production areas and facilitate the free movement of persons and goods.

Component D: Environmental management and conservation of biodiversity. The component aims to finance a series of activities contributing to the protection of the environment and biodiversity of the Lake. They are in particular: (i) the construction of a wastewater treatment plant in Kigoma and Mpulungu and the commissioning of that of Bujumbura; (ii) the protection of 150,000 ha of catch basins; (iii) the harmonisation of environmental monitoring in the basin; (iv) environmental and health education (against HIV/AIDS, diarrhoea and malaria).

Component E: Programme management. The programme will initially be implemented by the interim Secretariat of the Lake Tanganyika Authority and, after ratification of the Convention, by the Secretariat. For purposes of efficiency, availability of infrastructure and accessibility, the Authority will be based in Bujumbura. The national implementation units will be established in the lakeside towns of the Lake in Bujumbura, Kigoma, Mpulungu and Kaliémie. A multi-sectoral steering committee will also be set up in each country. The national programme implementation units will play the role of coordinating and monitoring the activities. The technical implementation of field activities will be provided by the technical services of the States, notably the departments of environment, infrastructure, health, etc, NGOs and specialised local agencies.

Institutional provisions. The Convention on the sustainable management of Lake Tanganyika (ASMLT): Its duties will be to implement policies and decisions taken by the States, give scientific and technical opinions, prepare and propose programmes of action for approval by the States, negotiate with the donors, monitor the parameters of the Lake and approve the annual work programmes. It will comprise the representatives of the Ministries in charge finance, fishery, environment, water and the Executive Director of the Authority, and may, if necessary, be extended to other structures. The LTMC meets at least two times a year and the Executive Director of LTA will run its Secretariat. In each country, an inter-ministerial steering committee (NISC) will be set up. It will comprise a representative of the Ministries in charge of Finance, Fisheries, Environment, Water, Decentralised administrations and a representative of the private sector, two representatives of the civil society. The duties of the NISC are to consider and approve the programmes of activity and annual budgets, consider and adopt the status reports see to the application of the recommendations of the various supervision missions. Periodic project status reports, audit and follow-up reports will be addressed to them. An operational manual will be prepared to define the role of each of the partners and the work environment.

Programme cost and financing plan. The programme cost is about USD 81.42 million. In keeping with the financing plan hereunder, the programme will be jointly financed by African Development Bank (36.43%), the World Environmental Fund (16.58%), the World Union for the Conservation of Nature (3.44%), the European Union (18.42), the Nordic Development Fund (14.74%), the Finnish Development Agency (46%), the World Organisation for Food and Agriculture (1.23%), the Governments and beneficiaries (5.50%) and the private sector (1.2%).

Table 1: Programme financing plan in million USD Approximate Values (From AfDB – June 2004)

	ADF	GEF	IUCN	EU	NDF	FIN	FAO	GVT	BENEF	Private sector	TOTAL
Components											
1. Strengthening of institutional capacities											
1.1 Support to Lake Authority	0.70	3.50					0.40				4.60
1.2 Improvement and update of the policy frameworks	0.35						0.15				0.50
1.3 Technical supervision and training	5.09				1.80		0.20				7.09
1.4 Support to the installation of a monitoring system	0.50		1.00								1.50
2. Fisheries development											
2.1 Sustainable fisheries management support	10.32				1.75	1.00	0.25				13.32
2.2 Studies and back-up research	0.44					1.00					1.44
3. Rehabilitation of infrastructure											0.00
3.1 Community projects	2.15			5.00	3.05				1.24		11.44
3.2 Economic infrastructure	4.37			10.00							14.37
3.3 Education and health prevention	0.23										0.23
4. Management of the environment and biodiversity											0.00
4.1 Development of the catch basins	1.93	8.00	1.80								11.73
4.2 Waste water treatment		2.00			5.41					0.98	8.39
4.3 Environmental education	0.17										0.17
5. Programme management	3.41							3.24			6.65
Total cost	29.66	13.50	2.80	15.00	12.00	2.00	1.00	3.24	1.24	0.98	81.42

Table 2: Programme cost estimate by source of finance and countries/beneficiaries in million USD

	ADF	GEF	IUCN	EU	NDF	FINNIDA	FAO	GVT	BENEF	PRIVATE	TOTAL	%
BURUNDI	0.00	2.50	0.00	5.00	0.00	0.00	0.00	0.47	0.00	0.98	8.95	11.0
DRC	10.07	2.50	0.00	5.00	0.00	0.00	0.00	1.05	0.46	0.00	19.08	23.5
TANZANIA	7.40	2.50	0.00	2.50	7.80	0.00	0.00	0.88	0.32	0.00	21.40	26.3
ZAMBIA	4.84	2.50	0.00	2.50	4.20	0.00	0.00	0.84	0.46	0.00	15.34	18.8
Lake Authority	7.35	3.50	2.80			2.00	1.00	0.00	0.00	0.00	16.65	20.4
Total cost	29.66	13.50	2.80	15.00	12.00	2.00	1.00	3.24	1.24	0.98	81.42	100

