

**The Regional Training Course on** 

SUSTAINABLE USE AND MANAGEMENT OF COASTAL WETLANDS

5 – 20 November 2007 Faculty of Environment and Resource Studies, Mahidol University, Thailand

# **TOPIC 12**

# **Root Causes Analysis of Wetland Loss and Degradation**

Sunday, 11 November 2007 : 13.00 – 16.30 hrs.

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# ROOT CAUSES ANALYSIS OF WETLAND LOSS AND DEGRADATION

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### **INTRODUCTION**

In the developing world, wetland loss and degradation is leading to substantial impacts upon local communities which depend upon wetland resources for sustenance. To resolve this problem, the precise reasons for wetland threats need to be analysed, and means of addressing them identified.

Root Causes Analysis can also be one of conflict analysis tools, helping stakeholders examine the origins and underlying causes of conflict.

# **GETTING TO THE ROOT CAUSES OF PROBLEMS**

Understanding the true nature of the key problems is crucial in any resource management situation. Too often, little or no effort is spent on developing an understanding of the root causes of problems. This can lead to situations where efforts are wasted by addressing only the immediate causes and never reaching the underlying root causes.

Claridge and O'Callaghan (1997) describe a simple hypothetical example which might illustrate the problem. If a community is over-harvesting a wetland resource which is globally threatened, this represents a conservation problem. The simple solution would appear to be to introduce legislation to prohibit or limit the harvest. But what are the root causes of the problem and would the simple legislative solution address them, or would it merely change the nature of the problem ?

For examples :

- Is the resource used locally or sold for cash ?
- Are there acceptable and affordable substitutes ?
- Has the harvesting level remained constant but some outside influence has reduced the stock of the resource ?
- Is the harvest done by one disadvantaged group in the community with no other source of income, or whose other sources of income have suddenly disappeared ?

- Was harvesting previously sustainable but traditional management regimes have broken down for some reason ?
- Has a government initiative changed, or threatened to change, the traditional tenure patterns for this resource, leading to an open access situation ?
- etc.

Depending on the answers to these questions, different management interventions will need to be made.

# WHAT IS ROOT CAUSES ANALYSIS ?

#### **Root Causes Analysis is :**

- Finding *real causes* of the problem and dealing with it rather than continuing to deal with the unwanted situation.
- A step by step method that leads to the discovery of a root cause or root causes.
- An investigation that traces the cause and effect trail from the end failure (impact / problem / issue / unwanted situation) back to the root cause.
- Identifying the *linkages* between *issues* affecting the ecosystem / the environment and *their causes* in order to solve the problems more efficiently in a sustainable and cost-effective manner.
- Identifying the *real and potential threats*, and *their underlying root causes*, to the ecological health of wetland ecosystem.

# **OVERVIEW OF ROOT CAUSES ANALYSIS PROCESS**

- *A team* of, probably, 3-6 *knowledgeable people*, brought together to investigate the threats using evidence left behind from the unwanted situation.
- The team *brainstorms* to find as many causes of the unwanted situation as possible.
- Using evidence remained after the unwanted situation, and *discuss with people involved* in the situation, *all the non-contributing causes are removed*, and *the contributing causes retained*.
- A problem tree is constructed, starting with the final unwanted situation and progressively tracing each cause that led to the previous cause, this continues till the trail can be traced back no further; each result of a cause must clearly flow from the one before it (if it is clear that a step is missing between causes, it is added in and evidence looked for to support its presence).
- Once the problem tree is completed and *checked for logical flow*, the team then *determines what changes to make* to prevent the sequence of causes and consequences from again occurring.

Once the root cause is determined, then it has to be determined whether it costs more to remove the root cause or simply continue to treat the unwanted situation.

# POINTS TO REMEMBER

- Take time to identify the root causes of the major issues and problems;
- Make a thorough analysis of the situation taking all factors (internal and external) into account;
- Utilize a range of problem-solving techniques to identify the root causes of the problem; and
- Be prepared to identify new and non-traditional approaches to address the problems.

### CASE STUDIES

An example of the analysis of root causes of threats to wetland biodiversity in the Lower Mekong Basin, carried out by the Mekong River Basin Wetland Biodiversity Conservation and Sustainable Use Programme (UNDP-IUCN-MRCS-GEF), are briefly described and summarized below.

# Threats to wetlands in the Lower Songkhram River Basin, Thailand.

Threats	Immediate Causes	Root Causes
Permanent inundation	Infrastructure development	Uncoordinated sectoral approaches to wetland
of the site through the	: Irrigation for agriculture	planning at national and regional level
proposed construction		• Weak policy framework and unsupportive
of a dam at the mouth		economic environment for wetland biodiversity
of Songkhram River		conservation and sustainable use
Infrastructure	Effort to increase	• Weak policy framework and unsupportive
development – water	agricultural production,	economic environment for wetland biodiversity
including weirs	government policy to	conservation and sustainable use
menualing wens	production	• Uncoordinated sectoral approaches to wetland
	Frederica	<ul> <li>Inadequate information and awareness base on</li> </ul>
		which to base wetland policy, planning and
		management decisions
Unsustainable	Unsustainable use of	Weak policy framework and unsupportive
management of natural	wetlands : Wood	economic environment for wetland biodiversity
resources	harvesting, grazing,	conservation and sustainable use
	harvesting wildlife	Uncoordinated sectoral approaches to wetland
		planning at national and regional level
		• Lack of options over resource uses by local people
		Inadequate human and technical resources
		available for wetland biodiversity conservation
Reduction in water	Industrial and agro-	Inadequate human and technical resources
quanty	industrial pollution	available for wetland biodiversity conservation
		• Weak policy framework and unsupportive
		conservation and sustainable use
		<ul> <li>Uncoordinated sectoral approaches to wetland</li> </ul>
		nlanning at national and regional level
	Domestic waste	Weak policy framework and unsupportive
		economic environment for wetland biodiversity
		conservation and sustainable use
Focus of management	Management focus on	Uncoordinated sectoral approaches to wetland
on terrestrial	forest systems	planning at national and regional level
components of river		Weak policy framework and unsupportive
basin		economic environment for wetland biodiversity
		conservation and sustainable use
	Education activities	Inadequate human and technical resources
	focused on forest	available for wetland biodiversity conservation
	environments	• Uncoordinated sectoral approaches to wetland
Introduction of	Extensive culture of exotic	Unaccordinated sectoral approaches to watland
notentially invasive	fish species	<ul> <li>Oncoordinated sectoral approaches to wettand</li> <li>planning at national and regional level</li> </ul>
alien fish species		<ul> <li>Lack of options over resource uses by local people</li> </ul>
T, T		<ul> <li>Inadequate human and technical resources</li> </ul>
		available for wetland biodiversity conservation
Inappropriate fisheries	Over-harvesting of	Inadequate information and awareness base on
activities resulting in a	fisheries resources and	which to base wetland policy, planning and
decrease in fish stocks	unsustainable harvesting	management decisions
and related fish	practices	Inadequate human and technical resources
biodiversity		available for wetland biodiversity conservation
		• Lack of options over resource uses by local people

Many countries share the South China Sea, and the environmental problems of the Sea are common and transboundary in nature with similar root causes, thus the solutions to address the problems require a regional and transboundary approach.

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